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Center 2 09/369,517 Application Number **TRANSMITTAL** August 6, 1999 Filing Date **FORM** David Tuck First Named Inventor 2761 (to be used for all correspondence after initial filing) Group Art Unit Edward R. Cosimano **Examiner Name** 22 ACONT.0101C2 Total Number of Pages in This Submission Attorney Docket Number **ENCLOSURES** (check all that apply) After Allowance Communication **Assignment Papers** Fee Transmittal Form (for an Application) to Group Appeal Communication to Board Fee Attached Drawing(s) of Appeals and Interferences Licensing-related Papers Appeal Communication to Group Amendment / Reply (Appeal Notice, Brief, Reply Brief) Petition After Final Proprietary Information Petition to Convert to a Affidavits/declaration(s) Provisional Application Status Letter Power of Attorney, Revocation Change of Correspondence Other Enclosure(s) (please Extension of Time Request Address identify below): Terminal Disclaimer **Express Abandonment Request** Request for Refund Postcard Information Disclosure Statement acknowledgement CD, Number of CD(s) Certified Copy of Priority Document(s) Remarks Response to Missing Parts/ Incomplete Application Response to Missing Parts under 37 CFR 1.52 or 1.53 SIGNATURE OF APPLICANT, ATTORNEY, OR AGENT Firm CARSTENS, YEE & CAHOON, L.L.P. Individual name Signature Date October 5, 2001 CERTIFICATE OF MAILING I hereby certify that this correspondence is being deposited with the United States Postal Service with sufficient postage as first class mail in an envelope addressed to: Commissioner for Patents, Washington, DC 20231 on this date: October 5, 2001 David W. Carstens Typed or printed name

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October 5, 2001

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IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Applicant:

Tuck, et al.

Filing Date:

August 6, 1999

Serial Number:

09/369,517

Title:

Apparatus and Method for Trading Electric Energy

Examiner:

Edward R. Cosimano

Art Unit:

2761

Assistant Commissioner of Patents Washington, D.C. 20231

TRANSMITTAL

Enclosed are the following papers for the above-referenced patent application:

- 1. Response to Office Action mailed July 5, 2001;
- 2. Transmittal in duplicate;
- 3. Certificate of Mailing; and
- 4. Postcard Acknowledgment.
- 5. Copy of Declaration and Power of Attorney

The Commissioner is hereby authorized to charge payment of any further fees associated with any of the foregoing papers submitted herewith, or to credit any overpayment thereof, to Deposit Account No. 50-0392.

Respectfully submitted,

David W. Carstens

Registration Number 34,134

Date: October 5, 2001

CARSTENS, YEE & CAHOON, L.L.P. P.O. Box 80334
Dallas, TX 75251
972.367.2001 Telephone
972.367.2002 Facsimile

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I hereby certify that the documents listed below are being deposited with the United States Postal Service via First Class mail service with sufficient postage in an envelope addressed to the Assistant Commission for Patents, Washington, D.C. 20231, under 37 CFR 1.8, on October 5, 2001

Heather V. Morrison

Enclosures: Response to Office Action mailed July 5, 2001

Transmittal in duplicate

Regular Certificate of Mailing

Postcard Acknowledgment

Copy of Declaration and Power of Attorney